



Stack  
Hack™



Stack  
Hack™

**Plato's Philosophy, LLC**  
DBA *StockHack* and *StackHack*

**Last Updated: October 23, 2023**

## **CLIENT RELATIONSHIP SUMMARY**

(Part 3 of Form ADV – Form CRS)

### **Introduction**

Plato's Philosophy, LLC, DBA *StockHack* and *StackHack* ("Plato's Philosophy" or the "Firm") is registered with the Securities and Exchange Commission as an Investment Adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences between those fees. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### **Relationships and Services**

#### **What investment services and advice can you provide me?**

Plato's Philosophy offers investment advisory services to retail investors. This includes reviewing and providing financial planning and plans for personalized wealth building and also providing fundamental analysis overviews regarding publicly-traded companies. The Firm does not custody, monitor, manage, or accept discretionary authority over retail investor's funds or investments. Accordingly, the retail investor makes the ultimate decision regarding the purchase or sale of investments. The Firm's personalized wealth plan and financial audit interview offerings are not limited to proprietary products or a limited menu of products or types of investments. They are based off of the client's financial status and goals. However, the Firm's fundamental analysis offering is limited solely to analyzing publicly-traded companies. The Firm does not require any minimum account size or investment amount for retail investors to participate in the Firm's offerings.

**For additional information** about our services and advice, please read Items 4, 7, and 8 of our Form ADV, Part 2A disclosure brochure. A copy can be found at [stackhack.com](https://stackhack.com) at the bottom of the webpage or contact us at (805) 888-2384, [kevin@stockhack.com](mailto:kevin@stockhack.com), or [kevin@stackhack.com](mailto:kevin@stackhack.com).

#### ***Conversation Starters – Ask one of our financial professionals the following:***

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

### **Fees, Costs, Conflicts, and Standard of Conduct**

#### **What fees will I pay?**

For personalized wealth plan services, fundamental analysis services, and financial audit interview services, Plato's Philosophy charges a one-time, flat fee with a deposit against the fee to be paid in advance. The flat fee for each service is clearly outlined in the financial advisory agreement(s) between you and the Firm along with being clearly identified during the checkout page with the Firm's third-party payment processor. You may be charged additional transactional/processing fees, sales tax, etc. in addition to the flat fees for these services. Such fees will be clearly identified at the time of payment and are separate from the flat fee paid to the Firm for the services.

*You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.*

For more detailed information about our fees and other costs, please visit [stackhack.com](https://stackhack.com) to see the current flat fees for the personalized wealth plan offering, the fundamental analysis offering, and the financial audit interview offering and review Items 5, 10, and 14 of our Form ADV, Part 2A disclosure brochure. A copy can be found at [stackhack.com](https://stackhack.com) at the bottom of the webpage or contact us at (805) 888-2384, [kevin@stockhack.com](mailto:kevin@stockhack.com), or [kevin@stackhack.com](mailto:kevin@stackhack.com).



Stack  
Hack™



Stack  
Hack™

**Plato's Philosophy, LLC**  
DBA *StockHack* and *StackHack*

**Last Updated: October 23, 2023**

***Conversation Starters – Ask one of our financial professionals the following:***

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

*When we act as your investment adviser*, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means. As part of the Firm's personalized wealth plan services, Plato's Philosophy's Team of Investment Adviser Representatives may recommend that you invest in The Meet Kevin Pricing Power ETF (Ticker symbol \$PP) as part of your wealth building plan. The Firm is a sub-adviser for this ETF and receives a portion of the 0.77% expense ratio. The Firm's relationship with the ETF creates a conflict of interest as it gives the Firm an incentive to have clients invest in the ETF to increase the fund's assets under management.

***Conversation Starters – Ask one of our financial professionals the following:***

- How might your conflicts of interest affect me, and how will you address them?

For more detailed information about our conflicts of interest, please review Items 4, 10, 11, 12, and 14 of our Form ADV, Part 2A disclosure brochure. A copy can be found at [stackhack.com](http://stackhack.com) at the bottom of the webpage or contact us at (805) 888-2384, [kevin@stockhack.com](mailto:kevin@stockhack.com), or [kevin@stackhack.com](mailto:kevin@stackhack.com).

**How do your financial professionals make money?**

The Firm's financial professionals are either salaried or hourly employees. They do not receive compensation based on factors such as: the amount of client assets they service, the time and complexity required to meet a client's needs, the product sold, product sales commissions, or revenue the Firm earns from the financial professional's advisory services or recommendations.

**Disciplinary History**

**Do you or your financial professionals have legal or disciplinary history?**

Yes. You may visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to research the Firm and its financial professionals.

***Conversation Starters – Ask one of our financial professionals the following:***

- As a financial professional, do you have any disciplinary history? For what type of conduct?

**Additional Information**

If you have questions about the Firm's investment advisory services or to request a copy of the relationship summary, please email [kevin@stockhack.com](mailto:kevin@stockhack.com) or [kevin@stackhack.com](mailto:kevin@stackhack.com), and/or call us at (805) 888-2384. Additional information is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number for Plato's Philosophy is 319564.

***Conversation Starters – Ask one of our financial professionals the following:***

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?